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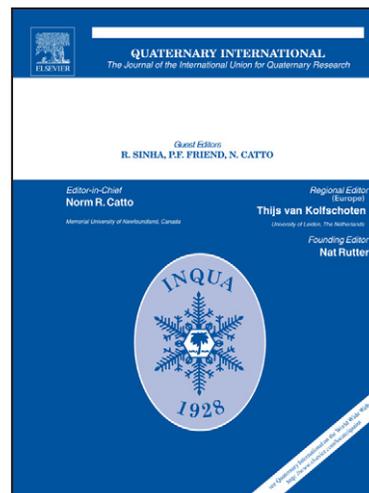
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Title: **PALEOECOLOGICAL RECORD OF HURRICANE DISTURBANCE AND
FOREST REGENERATION IN NICARAGUA**

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1 **ABSTRACT**

2 Studying infrequent phenomena (e.g. hurricanes) and slow processes (e.g. forest
3 regeneration) greatly challenges the ecological techniques of real-time studies. By
4 combining the two relatively new approaches of paleotempestology and fine-resolution
5 palynology, this study provides insight into the impacts of hurricanes and the post-
6 hurricane regeneration of forests. I analyzed a 5 m sediment core from a swamp lagoon
7 on the Caribbean Coast of Nicaragua that covered the entire 8,000 yr history of the
8 swamp (Urquhart 1997). X-rays revealed a sand layer dating to c. 3300 BP of the type
9 deposited by hurricanes. Pollen analyses showed this sand layer was followed by major
10 changes in vegetation and fires. This pattern is identical to the wake of Hurricane Joan,
11 which struck the area in 1988 and left 90,000 hectares of damaged swamp forest that
12 burned shortly after. After the prehistoric hurricane, forest vegetation did not return until
13 500 years later, due to repeated burning. This parallel event of the past illustrates a
14 possible course for modern forest regeneration. As a counterpart to direct ecological
15 analysis, fine-resolution paleoecological study can provide great insight for the study of
16 rare events and slow processes.

17

18

18 Key Words: Disturbance, fire, forest, hurricane, Nicaragua, paleoecology, pioneer,
19 pollen, regeneration, swamp, tropics.

20

21 Key Phrases: Paleoecological forest regeneration; Severe hurricane struck Nicaragua
22 3300 y before present, Disturbance 3300 y before present parallels present hurricane and
23 fires; Long time span coverage with paleoecological techniques; Forest returned 500 y
24 after prehistoric hurricane; First study of forest regeneration with paleoecological
25 analysis;

26

27

Accepted manuscript

27 **INTRODUCTION**

28 Difficulties exist in studying rare events and slow processes through real-time
29 ecological studies. Hurricane damage to tropical and subtropical coastal ecosystems is
30 regular phenomenon (Liu and Fearn 1993, 2000, Boucher 1990), but infrequent in
31 ecological time. The result is a series of sites that have been studied (Puerto Rico, South
32 Carolina, Nicaragua, and Florida), but for only a single disturbance event (Hurricanes
33 Hugo, Hugo, Joan, and Andrew, respectively), resulting in a conclusions of unknown
34 generality and applicability. Additionally, forest regeneration after severe damage
35 requires decades to centuries, far beyond the time scale of most ecological studies.

36 Paleoecological studies provide the opportunity to survey long periods for rare
37 events and slow ecological processes can be addressed. Past studies have outlined
38 sediment layers generated by prehistoric hurricanes (Liu and Fern 1993, 1997, Davis et
39 al. 1989), but have not analyzed the impacts of the hurricanes on vegetation. Fine
40 resolution palynology is a suitable method to analyze vegetation changes associated with
41 past hurricanes (Sturludottir and Turner 1985, Green et al. 1988).

42 In October 1988, Hurricane Joan, a class 4 hurricane on a scale of 1 to 5, struck
43 the Caribbean Coast of Nicaragua near the town of Bluefields. With winds up to 290
44 km/h, it severely damaged 500,000 ha of tropical forest, including 100,000 ha of swamp
45 forests (Boucher et al. 1990). In the dry season following the hurricane, swamp forests
46 burned widely as a result of downed vegetation and human agricultural fires. During the
47 decade that has passed since the hurricane, terrestrial ferns have dominated the
48 vegetation, which shows few signs of forest regeneration. The hurricane disturbance and
49 subsequent fires greatly altered the ecosystem, which now may require decades or

50 centuries to regenerate to its forested state.

51 Boucher (1992) estimated that hurricanes affect the Caribbean Coast of Nicaragua
52 with a periodicity of about 100 years. The likelihood of a previous hurricane and the
53 slow regeneration of the damaged swamps prompted me to conduct a paleoecological
54 study to search for evidence of past hurricanes. To identify and study past hurricanes, I
55 analyzed a 5.0 m sediment core from Laguna Negra, Nicaragua. I found one sediment
56 layers (292-296) ascribable to prehistoric hurricanes (Liu and Fearn 1993, 1997, 2000;
57 Liu et al. 1994). Analyses that include a fine resolution paleoecological dissection of one
58 of these layers are presented below.

59 In this study, I addressed several questions attempting to relate the hurricane
60 disturbance of 1988 to past events: (1) Have there been major hurricanes in this area in
61 the past? (2) How frequent are major hurricanes in this area? (3) Was fire damage
62 associated with past hurricane disturbance? (4) What species colonized after the
63 hurricane and/or fire disturbance? (5) How much time was necessary for forest
64 regeneration?

65

66 **REGIONAL SETTING**

67 Laguna Negra (Black Lagoon) is a small blackwater lagoon located inland from
68 the Caribbean Coast of Nicaragua at 12° 02' 42.05" N, 83° 55' 39.22" W (Figure 1). It is
69 approximately 150 m x 60 m, with a maximum depth of 6.8 m and average depth of ~5m.
70 Caño Negro, or Blackwater Creek, flows into and out of the lagoon. However, it has
71 approximately no net current and is much shallower than the lagoon. Above Laguna
72 Negra, Caño Negro is about 3 m wide and 1 m deep. It probably continues only a few

73 hundred meters above the lagoon. At its exit from Laguna Negra, Caño Negro is
74 approximately 6 m across and 2 m deep. It deepens to a depth of 10 m for most of its
75 length and a width of up to 60 m.

76 Laguna Negra is located 17 km from the open Caribbean Sea and 13 km from
77 Bluefields Bay, a large saltwater bay surrounding the town of Bluefields. It is located
78 only 4 km from Rio Escondido, a large river that rose 5 m during Hurricane Joan in 1988.
79 Laguna Negra and Caño Negro have a significant response to tides. While the Caribbean
80 tides are minimal, visible tidal changes were observed 17 river km upstream from the
81 mouth of Caño Negro (Urquhart, unpublished data). During the dry season, the water of
82 Caño Negro becomes brackish. Red mangroves (*Rhizophora mangle* L.) grow along the
83 river banks to within five river km of Laguna Negra.

84 The vegetation around Laguna Negra was until recently a mature swamp forest,
85 composed of species such as *Symphonia globulifera* L. f. (Clusiaceae), *Carapa*
86 *guianensis* Aubl. (Meliaceae), *Pterocarpus officinalis* Jacq. (Fabaceae), and *Raphia*
87 *taedigera* Mart. (Arecaceae).

88 In 1988, the passage of Hurricane Joan set off a devastating series of changes to
89 the swamps around Bluefields, Nicaragua (Vandermeer et al. 1990). While hurricane
90 winds severely damaged the trees of the swamp, fires during the dry season of 1989—just
91 three months after the hurricane—killed almost all of the trees in the forest. The resulting
92 landscape was colonized by pioneering herbaceous species that appear to be retarding
93 regeneration. The most abundant pioneer is the fern, *Blechnum serrulatum* Rich.,
94 accompanied by grasses (Poaceae), sedges (Cyperaceae), and cattails (*Typha* sp.).
95 Immediately surrounding the lagoon, a 10-20 m thick strip of forest has survived, with

96 the above-mentioned forest species and *Annona glabra* L. (Annonaceae), *Cassipourea*
97 *elliptica* Poit. (Rhizophoraceae), *Pachira aquatica* Aubl. (Bombacaceae), and
98 *Acoelorrhaphe wrightii* Becc. (Arecaceae).

99 A very diverse tropical moist forest occurs within 5 km of Laguna Negra
100 (Vandermeer et al. 1995). While notoriously poor dispersers of large quantities of pollen,
101 trees from the moist forest could influence the pollen spectrum in sediments. Wind-
102 pollinated species, such as *Podocarpus guatemalensis* Standl., are likely dispersed from
103 the moist forest. Savannas of *Pinus caribaea* var. *hondurensis* Morelet grow on ancient
104 beaches approximately 20 km northeast of Laguna Negra. Because of trade winds (E-
105 NE), the prolific pollen of *Pinus* is expected at low levels in the pollen record.

106

107 MATERIALS AND METHODS

108 In October 1995, I obtained two 5 m sediment cores from the deepest part of
109 Laguna Negra, using a modified Livingstone sampler with a locking piston (Colinvaux et
110 al., 1999). The cores were capped for transport. Before opening, the cores were X-rayed
111 to check for variation in sediment density. I opened them with a side cutting saw made
112 specifically for opening core tubes. After opening, I described the sediments using a
113 Munsell Color Chart and made visual descriptions of the stratigraphy. The analyses in
114 this paper are from the first of the two cores—the *Laguna Negra IA* core, which spans the
115 entire 8,000 yr history of the coastal swamp (Urquhart, 1997).

116 I concentrated pollen from 0.5 cm³ subsamples according to standard
117 palynological methods (Faegri et al. 1989). To each subsample, I added single
118 commercial *Lycopodium* tablet (~12,500 spores) per sample as exotic markers for pollen

119 concentration calculation. I counted the samples to at least 350 grains. Roubik and
120 Moreno (1990) and the pollen reference collection at the Smithsonian Tropical Research
121 Institute aided in the identification of pollen. Pollen concentrations were calculated by the
122 following formula:

123

$$124 \text{ grains/cm}^3 = \frac{(2 \text{ samples/cm}^3) \cdot (12,500 \text{ spores per sample}) \cdot (\# \text{ pollen grains counted})}{125 \quad (\# \text{ Lycopodium spores counted})}$$

126

127 I prepared a pollen diagram using Tilia and Tilia Graph (Grimm 1990). Using the
128 CONNISS (Grimm 1987) subroutine of Tilia program, I analyzed the data for
129 stratigraphic grouping and produced a dendrogram, used for delimiting pollen zones
130 based on changes in similarity clusters.

131 Microscopic charcoal particles were divided into two categories (5-30 μm , > 30
132 μm) and tallied. In the pollen diagram these two categories are merged, and the total
133 counts are presented. Macroscopic charcoal particles were observed in some samples
134 when they were passed through a 250 μm screen.

135 I did Loss on Ignition (LOI) measures for 1 cm^3 samples from a majority of the
136 depths used for pollen concentration. The samples were dried at 200° C and weighed
137 (dry weight) and then combusted at 550° C and weighed again. Percent mass lost on
138 ignition (LOI) was calculated by the following formula:

139

$$140 \text{ LOI} = (\text{dry weight} - \text{combusted weight}) / \text{dry weight}$$

141

142 From the combusted samples, I measured Carbonate concentration by using 0.5 N HCl to

143 evolve carbonate from the samples after LOI burning (Carver 1971). The remaining
144 material was dried at 200° C and weighed. Percent Carbonate was calculated by the
145 following:

146

$$147 \quad \text{Carbonate} = (\text{Dry Weight after HCl}) * (1-\text{LOI})$$

148

149 After X-ray and palynological analyses indicated zones of interest, I extracted five
150 sediment samples for AMS (Accelerator Mass Spectrometry) dating. The samples from
151 310, 300, 295, 290, and 278 cm established the chronology for the region around the sand
152 layer at 292-296 cm depth. Two macroscopic wood fragments, from 25 cm and 466 cm
153 depth, were measured similarly to establish the time span of the core. The samples were
154 prepared using a series of acid and base washes specified by the Center for AMS at
155 Lawrence Livermore National Laboratory (CAMS-LLNL) and transported to CAMS-
156 LLNL, where I assisted directly in the remainder of the preparation and dating process.
157 The $\delta^{14}\text{C}$ fractions were measured in the LLNL accelerator. To calibrate the $\delta^{14}\text{C}$
158 fractions in calculating radiocarbon ages, several samples were measured for $^{13}\text{C}/^{12}\text{C}$
159 ratios. For samples where I did not directly measure the $^{13}\text{C}/^{12}\text{C}$ ratio, I averaged the
160 values for like samples and used this to calculate radiocarbon ages. Calibration of
161 radiocarbon dates followed Stuiver et al. (1998). A single bulk sediment sample, 255-
162 250 cm, dated by Beta Analytic also fell in the range of depths analyzed in this paper and
163 is reported here. All dates reported in this paper are ^{14}C years BP.

164

165 **RESULTS**

166 **Stratigraphy and Chronology.**—The age recorded for 466 cm depth defines the
167 span of the core, 8020 ± 60 ^{14}C years (Table 1). A wood fragment from 25 cm depth
168 yielded a modern radiocarbon age, demonstrating that the core spanned from c. 8,000 yrs
169 to present.

170 The X-rays of the sediment cores revealed a 4 cm thick layer of sand at 292-296
171 cm depth (Figure 2). Liu and Fearn (1993, 1997) ascribed such bands in coastal swamps
172 to hurricane surges. The band had a distinct fining-upward nature (denser below and
173 finer above), similar to those deposited by some hurricanes (Davis et al. 1989). The
174 denser material at the base of the layer is indicative of a high-energy event, such as a
175 tsunami or hurricane. In the Caribbean region, hurricanes are frequent and there is no
176 record from other areas of a major Holocene tsunami in the Caribbean. The sand layer
177 defined the location for palynological analysis of the prehistoric hurricane event.

178 Loss on Ignition and Percent Carbonate values discriminate the band of denser
179 sediments seen in X-rays. Loss on Ignition was only 29.0% for the sand layer at 295 cm
180 depth compared to 50.0-63.8% for other samples in the 250 cm – 310 cm range. Percent
181 Carbonate was only 10.2% at 295 cm and ranged from 17.7-24.2% for the other samples.
182 For comparison, clays from 490 cm depth (base of core) were 22.8% carbonate.

183 The chronology of this period is based on five AMS ^{14}C dates and one
184 conventional (beta counter) date. The dates span from 3830 BP to 2820 BP (Table 1,
185 Figure 3). Because of the rapid sedimentation at the hurricane band, I calculated two
186 separate regressions for deposition times, before the hurricane and after the hurricane.
187 The two dates prior to the sand layer give a deposition time of 20 y/cm. Isolating the
188 dates after the influx, the dates align with a slope of ~ 15 y/cm of sedimentation. These

189 are comparable to deposition times in accumulating peats of the swamp (Urquhart, 1999).

190 The age of 3340 ± 50 for 295 cm depth (bottom of sand layer) is indistinguishable
191 from the age of 3360 ± 60 y for 290 cm (top of sand layer). The five centimeters of
192 sediments accumulated in this span arrived very rapidly, furthering the suggestion of a
193 storm surge from a hurricane rapidly depositing the sand layer.

194 In 1988, Hurricane Joan pushed a storm surge to the town of El Rama, located 55
195 km upstream from Bluefields located on the Rio Escondido (J. Vandermeer, Personal
196 Communication). The Rio Escondido runs within 4 km of Laguna Negra to the
197 northwest, and the storm surge of Hurricane Joan in the river could have spilled over into
198 Laguna Negra. Possibly due to methodological failures resulting in no stable mud-water
199 interface to analyze, there was no signal of Hurricane Joan observed in the sediments.
200 The mud-water interface mixed around in the coring tube, producing a homogenized
201 upper 20 cm of the sediments. Additionally, the type of sediments Hurricane Joan would
202 have produced differs greatly from prehistoric and is not ideal for detection. The modern
203 sediments of the nearshore Caribbean and Bluefields Bay, from which a sand layer would
204 originate, have become very silty due to agricultural expansion (P. Christie and J. Ryan,
205 pers. comm.). The silty sediments would not leave as clear a signal as sand, further
206 contributing to why there were no observable features in the Xrays of the mud-water
207 interface.

208 Following the tradition of naming hurricanes, the prehistoric hurricane presented
209 here are named Hurricane Elisenda (3340 ± 50 y BP) after a young girl living near
210 Laguna Negra in the forests damaged by Hurricane Joan.

211 **Notes on Pollen Types.**—Spores of the fern, *Blechnum serrulatum*, are monoete

212 and psilate like many other fern spores, but the perrine is distinct in having gemmae.
213 Fern spore perrines are not always resistant to acetolysis (Punt et al. 1994), and many of
214 the *B. serrulatum* spores may have lost their identifying perrines. All fern spores in the
215 appropriate size range (30-40 μ m) with gemmae were assigned to *B. serrulatum*, the
216 remainder being noted simply as monolete fern spores. This may be an underestimate of
217 *B. serrulatum* abundance.

218 Unlike most tropical pollen spectra, I found little Moraceae/Urticales pollen in the
219 sediments. Typically, researchers divide the Urticales into different morphotypes
220 depending on pore number, identifying only a few genera: *Cecropia*, *Ficus*, and *Trema*
221 (Rodgers and Horn 1996). However, because of low numbers of Urticales, I lumped all
222 but *Cecropia* and *Trema* into a single category. Only one *Ficus* grain appeared in the
223 pollen counts.

224 **Pollen Zones.**—The CONNISS dendrogram provided the framework for dividing
225 the pollen diagrams (Figures 4 and 5) into zones. The transitions between zones are
226 based on stratigraphic changes in similarity of pollen assemblages.

227 Pollen Zone 1. Pre-disturbance. In the pre-disturbance zone, the vegetation was
228 forest, including *Camposperma* and *Alchornea* (Figures 4 and 5).

229 Pollen Zone 2. Hurricane Elisenda. This zone is isolated in the pollen diagram
230 because a sand layer occurs in the sediments at this depth. The sand layer is 4 cm thick,
231 ranging from 296-292 cm depth. Loss on ignition values dropped from 63% at 296.5 to
232 29% at 295 and 37% at 292.5. Above 292.5, LOI values were around 50%. This sand
233 layer is coarse sand of the type brought in by a tidal surge, either from a tsunami or a
234 hurricane. Because of the resulting changes in vegetation and fires that occurred after

235 this event, this sand deposit is interpreted as the result of a storm surge after a hurricane.
236 Liu and Fearn (1993) found similar sand layers in coastal ponds in Alabama correlating
237 to hurricanes.

238 Pollen Zone 3. Fire Period 1. Duration ~ 200 years. This zone has the presence of
239 charcoal and high abundance of disturbance taxa pollen, e.g. Cyperaceae, Gramineae, and
240 *Typha*. There is also an increase in abundance of *Blechnum serrulatum* fern spores. This
241 fern is the same fern that is currently dominant in the fire-damaged swamps around
242 Bluefields. While fires and graminoids may suggest a drought, the persistence of
243 *Podocarpus* pollen argues against this. Furthermore, *Blechnum serrulatum* is only found
244 in very moist environments. Some microscopic charcoal is found in the pollen
245 preparations, and macroscopic charcoal was present in the samples at 288 cm and 285cm.

246 Pollen Zone 4. Regeneration Period 1. Duration ~75 years. In this zone, the pollen
247 spectrum begins to change to reflect a forested vegetation. Both *Camptosperma* and
248 *Alchornea* increase. Microscopic charcoal fragments decrease, and macroscopic
249 fragments were not present. This period represents a regenerating swamp forest in the
250 absence of fires.

251 Pollen Zone 5. Fire Period 2. Duration ~75 years. A fire, evidenced by increased
252 charcoal, damages the regenerating forest, reducing the pollen of *Camptosperma* and
253 *Alchornea*. *Gramineae* pollen increases sharply, as do fern spores. The sample from 268
254 cm had a significant amount of macroscopic charcoal before pollen concentration, as well
255 as microscopic charcoal in the concentrated pollen mixture.

256 Pollen Zone 6. Regeneration Period 2. Duration ~90 years. In this zone, forest
257 elements increase. *Camptosperma panamensis*, *Cordia alliodora*, *Ilex*, and *Alchornea*

258 pollen all increase. Cyperaceae, Gramineae, and *Typha* pollen and fern spores all
259 decrease. This is the regeneration of a swamp forest. Six pollen counts, spaced at 1 cm (~
260 15 years), define the regeneration.

261 Pollen Zone 7. Swamp Forest. In this zone, tree pollen is common again.
262 *Camposperma* pollen achieves the levels it will maintain for several centuries.
263 *Alchornea*, *Ilex*, *Myrica*, and *Cordia* are present at substantial levels. Disturbance taxa are
264 reduced to their background levels (always present because they are wind dispersed and
265 travel from other ecosystems too). The loss of *Blechnum serrulatum* spores indicates its
266 replacement in the swamp by a woody forest. This zone begins 400-500 years after the
267 initial hurricane disturbance.

268

269 DISCUSSION

270 **Hurricane Elisenda, c. 3300 BP.**—The pollen diagram illustrates the effect of
271 both hurricane and fires on the Laguna Negra ecosystem. The combination of hurricane
272 and fires also occurred when Hurricane Joan struck the Caribbean Coast of Nicaragua in
273 1988 (Table 2). In the following dry season, fires swept through the damaged forest.
274 Hurricane winds have both direct and indirect effects on forest trees. Directly, the trees
275 are stripped of their leaves and their branches or trunks broken. Indirectly, a large amount
276 of downed woody debris and vegetation are left by the hurricane, providing fuel for fires
277 that further damage the trees. The extreme result of this was observed in the 1989 fires
278 that burned 90,000 of 100,000 ha of hurricane-damaged swamp forest in Nicaragua
279 (Brooks and Vandermeer, unpublished data).

280 Fires in wet tropical ecosystems are often associated with droughts (Uhl and

281 Kauffman 1990, Horn and Sanford 1992), but several features of the pollen diagram
282 suggest that the fires were not generated by a long period of drought (decades to
283 centuries). *Podocarpus* pollen remained constant throughout the diagram. *Podocarpus* is
284 a slow growing tree that requires a wet lowland *terra firme* forest (Liu and Colinvaux
285 1985), and its continued presence demonstrates the existence of a wet forest nearby.
286 *Blechnum serrulatum*, the fern that colonized the post-disturbance swamps, is a wet area
287 specialist as well (McCullough et al. 1956).

288 Regeneration periods 1 and 2 could be a single period without a true fire zone
289 separating them, but several data refute this. The simultaneous increase of forest elements
290 *Camposperma* and *Alchornea* at 272 cm depth suggest a true forest development, rather
291 than a statistical artifact. The sediments at 268 cm—the layer that defined Fire 2—were the
292 only sediments in the entire core with visible amounts of macroscopic charcoal dust.
293 Clark (1988) determined that larger charcoal particles are a more significant indication of
294 fire, whereas microscopic charcoal is dispersed over long distances and redeposited and
295 thus may not signify a local fire disturbance. The abundance of macroscopic charcoal
296 suggests a distinct fire from those in Fire Period 1. Fire 2 produces significant changes in
297 the vegetation different than would be expected in a continually regenerating forest.
298 *Camposperma* and *Alchornea* pollen both drop. The spike of Gramineae pollen and an
299 increase in *Blechnum serrulatum* spores at 265 cm depth clearly illustrate a post-
300 disturbance swamp.

301 Regeneration period 2 is separated from other pollen zones by the CONISS
302 dendrogram. During this regeneration event, counts spaced approximately 15 years apart
303 indicate a gradual increase in *Camposperma*, along with increases in *Ilex* and

304 *Alchornea*. *Blechnum*, Gramineae, and Cyperaceae decrease to stable levels.

305 A regenerated forest appears in Pollen Zone 7, approximately 400-500 years after
306 Hurricane Elisenda. The period of regeneration is extremely long, partly because it was
307 set back repeatedly by fires. The length of regeneration is especially important to
308 managers wishing to restore the forests affected by Hurricane Joan. The similarities
309 between Hurricane Elisenda and Hurricane Joan (Table 2)—in each case fires swept
310 through the damaged landscape and pioneering vegetation colonized—suggest that
311 regeneration from Hurricane Joan could take an extremely long time. Repeated fires are
312 the greatest danger for the regenerating forests near Bluefields, and evidence of their
313 susceptibility to fire was demonstrated by the La Union fire of 1995, which burned ~30
314 hectares of the damaged swamps.

315 **Prehistoric Hurricanes.**— Identifying prehistoric hurricanes is possible through
316 the analysis of sediment composition in coastal areas (Liu and Fearn 1993, 2000).
317 Determining the frequency hurricane damage to the Laguna Negra swamp was one of the
318 objectives of this study. Although Boucher (1992) estimated that hurricanes impact the
319 Caribbean Coast of Nicaragua approximately every century, not all of these hurricanes
320 would have the same effect on the forest. Liu and Fearn (1993, 2000) were able to
321 estimate the frequency of severe hurricanes (Category 4 or 5) along the Louisiana Coast
322 by observing sand layers in Lake Shelby, located less than 1 km from the Gulf of Mexico.
323 However, since Laguna Negra is 15 km inland, only extremely severe hurricanes would
324 leave an impression in the sediments. Hurricane Joan (Class 4) was unobservable in the
325 sediments, although this may have been the result of methodological failures.

326 Because Laguna Negra is situated farther inland than many of the other sites

327 where hurricane prehistory has been studied, the origin of sand layers in it is open to
328 other interpretations, including slope wash, soil erosion, drought, or flooding. Slope
329 wash and soil erosion are unlikely explanations because Laguna Negra is isolated in an
330 enormous coastal plain, with 30-50 km separating it from the nearest significant slopes.
331 The percent carbonate for the sand layer (10.2% at 295 cm depth) was markedly different
332 from the values in the remainder of the core (17.7-24.2%), including the value for
333 erosional clays deposited at the base of the core (22.8%; Urquhart 1997). Flooding from
334 Rio Escondido, located 10 km to the north, is possible but even during Hurricane Mitch
335 (1996), the intense rains did not produce flooding from Rio Escondido into this region
336 (Urquhart, unpublished data). Similarly, sediments deposited from Rio Escondido
337 flooding would likely have been similar to those at the base of the core—weathered clays
338 with similar carbonate content, not the distinctly low carbonate of the sand layer. The
339 persistence of wet forest element *Podocarpus* pollen in low density throughout the pollen
340 diagram (Figure 4) refute the possibility of long-term drought generating the sand layers.

341 Hurricane Elisenda, 3340 ± 50 y BP, was either a very severe or very unique
342 hurricane. It produced significant changes in the vegetation and a very thick sand layer in
343 the sediments, suggesting that its landfall had both strong winds and a large tidal surge.
344 The date of Elisenda (3340 ± 50 y BP) corresponds well with the inception of hurricane
345 impacts on the Gulf Coast of Alabama (3240 ± 80) and Florida (3310 ± 80 BP) (Liu and
346 Fearn 1993). Liu and Fearn (1993, 2000) suggest an episode of abrupt environmental
347 change at this time, causing changes in hurricane tracks or possibly the onset of
348 Caribbean hurricanes. Hurricane Elisenda correlates well with this, and the pervasive
349 layer of sand throughout the Caribbean/Gulf of Mexico at this time may signal a great

350 period of tropical storms.

351 Although Laguna Negra could not provide the most complete record of hurricane
352 damage for its region, it provided the opportunity to study both the occurrence of
353 prehistoric hurricanes and their effects on vegetation. The analysis of the impact of
354 Hurricane Elisenda sheds great light on the reciprocal event in modern times, Hurricane
355 Joan. While direct studies of regeneration could take decades or centuries, the
356 paleoecological comparison of Hurricane Elisenda suggests that fire after hurricanes is
357 one of the most important factors in retarding regeneration. The current importance of
358 the swamp forest resource to local people has generated interest in how long regeneration
359 after Hurricane Joan will take. If the goal is the regeneration of a forested ecosystem,
360 control of anthropogenic fires must be included as principal management techniques
361 during the regeneration period. Suppression of natural fires may further contribute to
362 forest regeneration, but the natural history of Neotropical swamps is so poorly known that
363 the role of natural fire in the ecosystem is unknown. Nonetheless, human activity in the
364 area is very significant and reduction of agricultural fires will avoid the setbacks in
365 regeneration observed in the analysis of Hurricane Elisenda.

366 Paleoecological reconstruction of hurricane disturbance at 3300 BP shows a
367 similar pattern to the modern hurricane's effects: 1) fires followed the hurricane and 2)
368 pioneering vegetation, including the swamp fern *Blechnum serrulatum*, became abundant.
369 Beyond the initial damage, forest regeneration after the prehistoric hurricane was slowed
370 by repeated fires. Forest returned approximately 500 years after the initial damage. This
371 study represents the first analysis of forest regeneration or secondary succession patterns
372 using paleoecological methods. This technique is of great importance for the study of

373 slow processes and infrequent events, because it allows the coverage of the long
374 historical record.

375

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388

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Accepted manuscript

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485 Table 1. Samples and radiocarbon dates for samples from the Laguna Negra 1A core.

486

Sample Number	Depth	Material	Dating Method	$\delta^{13}\text{C}$	^{14}C Age (^{13}C adjusted)	Calendar years BP
CAMS 32695	310	sediment	AMS	-31.8*	3830 \pm 70	4510-4300
CAMS 32212	300	sediment	AMS	-32.57	3630 \pm 60	4090-3890
CAMS 32211	295	sediment	AMS	-31.95	3340 \pm 50	3680-3490
CAMS 32694	290	sediment	AMS	-31.8*	3360 \pm 60	3720-3500
CAMS 32219	278	sediment	AMS	-30.88	3200 \pm 60	3470-3360
Beta-88796	250-255	sediment	conventional	-30.1	2820 \pm 90	3020-2800
CAMS 32208	25	wood	AMS	-27.9	>modern	>modern
CAMS 32217	466	wood	AMS	-27.0	8020 \pm 60	8960-8620

487

488 * $\delta^{13}\text{C}$ value averaged from measured values other sediment samples.

489

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491

492

492 Table 2. Chronology of and similarities and differences between the prehistoric
 493 Hurricane Elisenda (3350 BP) and modern Hurricane Joan (1988 AD).

	Hurricane Elisenda 3350 BP	Hurricane Joan 1988 AD
Stage 1	Hurricane with storm surge (296-292 cm)	Hurricane with storm surge
Stage 2	Fires (290-278 cm)	Fires (1989)
Stage 3	Post fire vegetation with high density of <i>Blechnum</i> ferns (290-275 cm)	Post fire vegetation dominated by <i>Blechnum</i> ferns (1990-present)
Stage 4	a. Repeated fires (268 cm) b. <i>Raphia</i> palms absent	a. Small repeated fires (1995, 1998, 2004) b. <i>Raphia</i> palms may aid regeneration
Stage 5	Forest regeneration after 500 years	Unknown time to regeneration

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495

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497

497 Figure 1. Map of the Laguna Negra area on the Caribbean Coast of Nicaragua. Stippled
498 areas are present swamp vegetation.

499

500 Figure 2. X-radiograph of Laguna Negra 1A core, c. 305-275 cm depth, illustrating sand
501 layer between 296 cm and 292 cm, with select radiocarbon dates and loss on ignition
502 values (LOI).

503

504 Figure 3. Chronology, loss on ignition, and carbonate values for 250-310 cm depth in the
505 Laguna Negra 1a core. Sand layer deposited by hurricane illustrated by band, with
506 gradient indicating the density of sediments (darker=higher). Radiocarbon dates in ^{14}C Y
507 BP $\pm 1\sigma$.

508

509 Figure 4. Fine-resolution pollen percentage diagram for Laguna Negra, Nicaragua with
510 chronological scale. The diagram represents only the section from 250 cm – 310 cm
511 depth from a 5 m core.

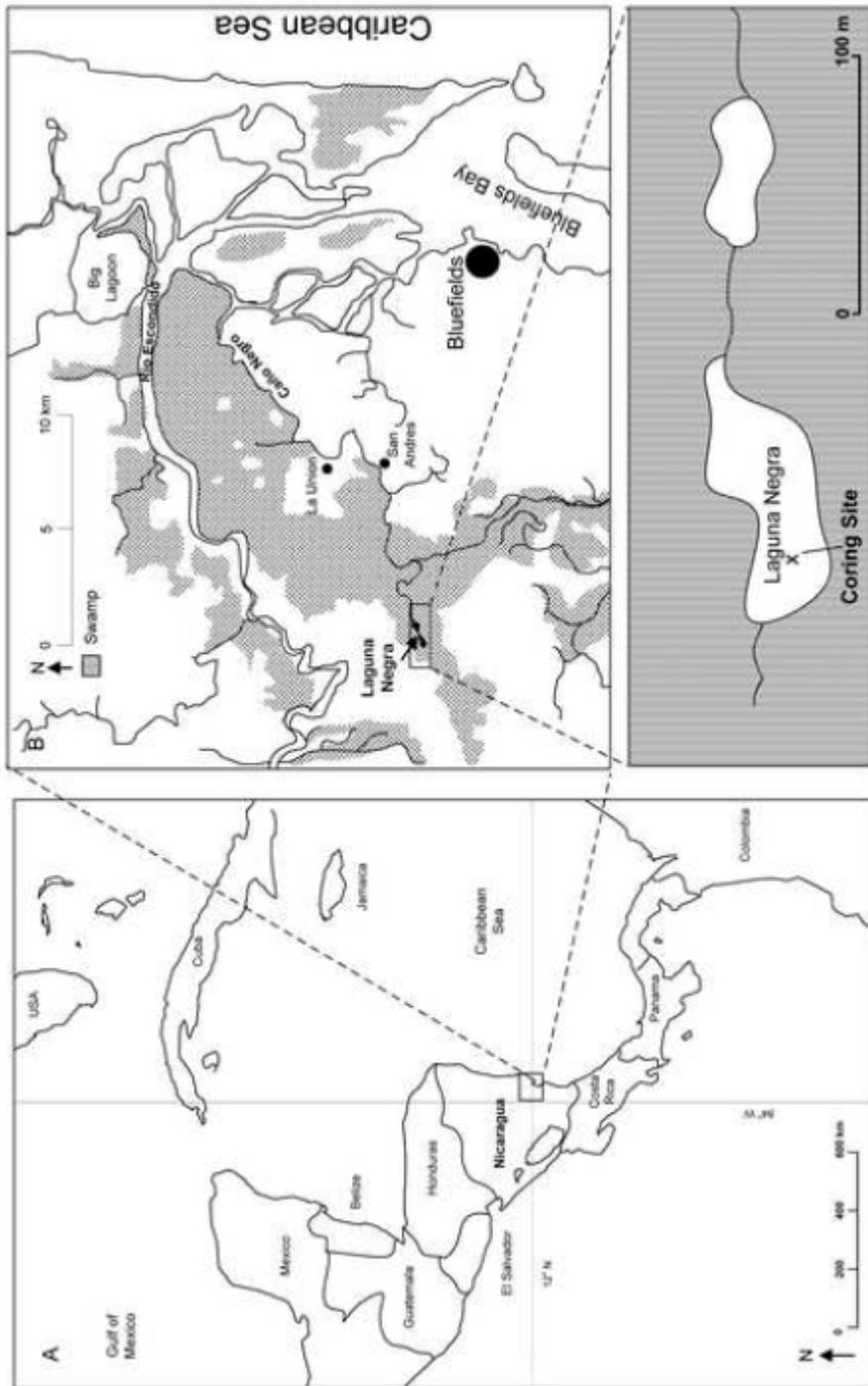
512

513 Figure 5. Fine-resolution pollen and stratigraphy summary diagram for Laguna Negra,
514 Nicaragua with chronological scale. The diagram represents only the section from 250
515 cm – 310 cm depth from a 5 m core.

516

516 Fig. 1

517

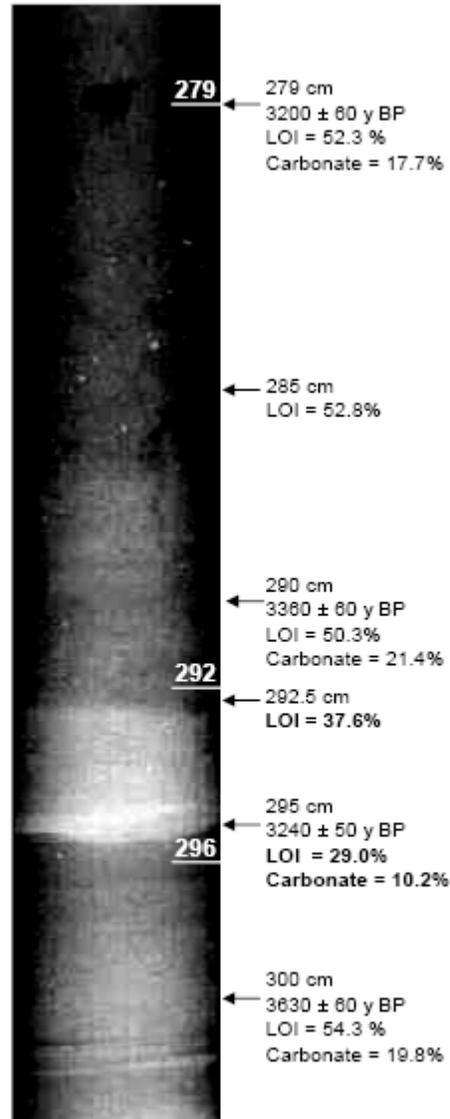


518

519

519 Fig. 2

520

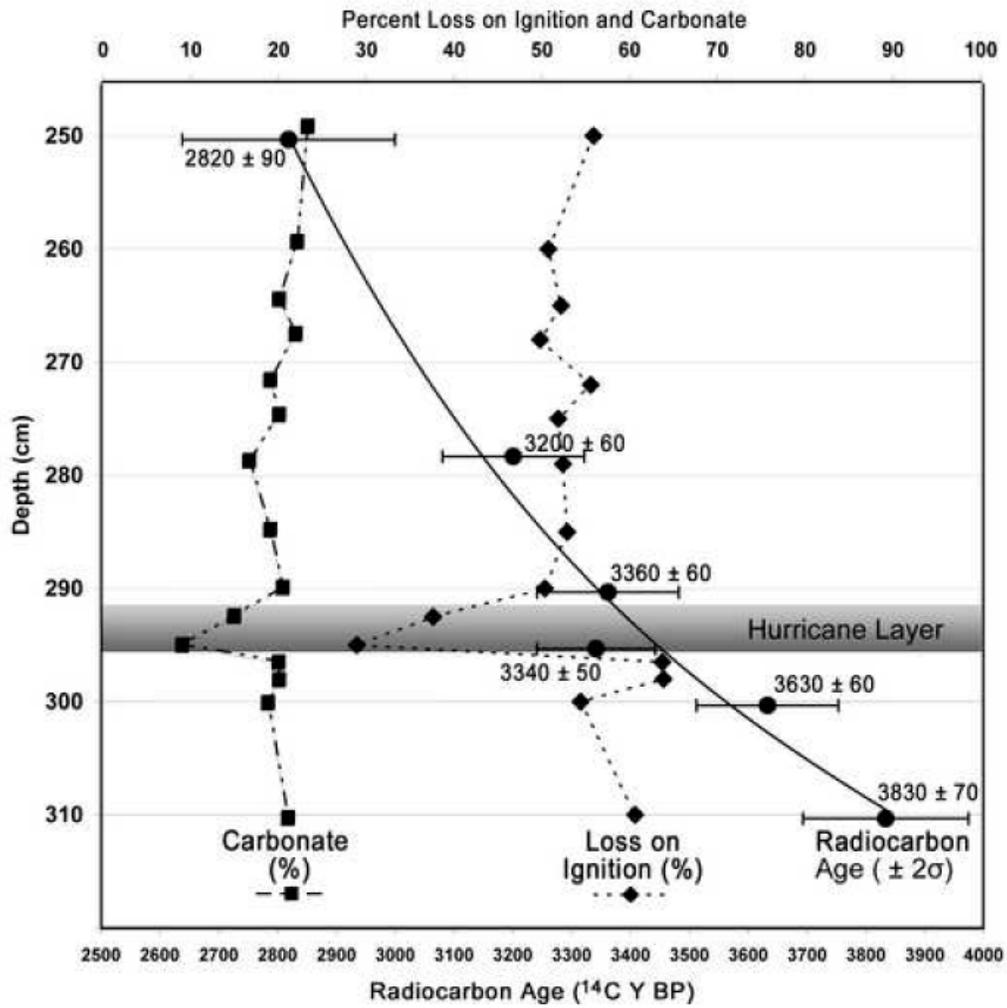


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522 Fig. 3

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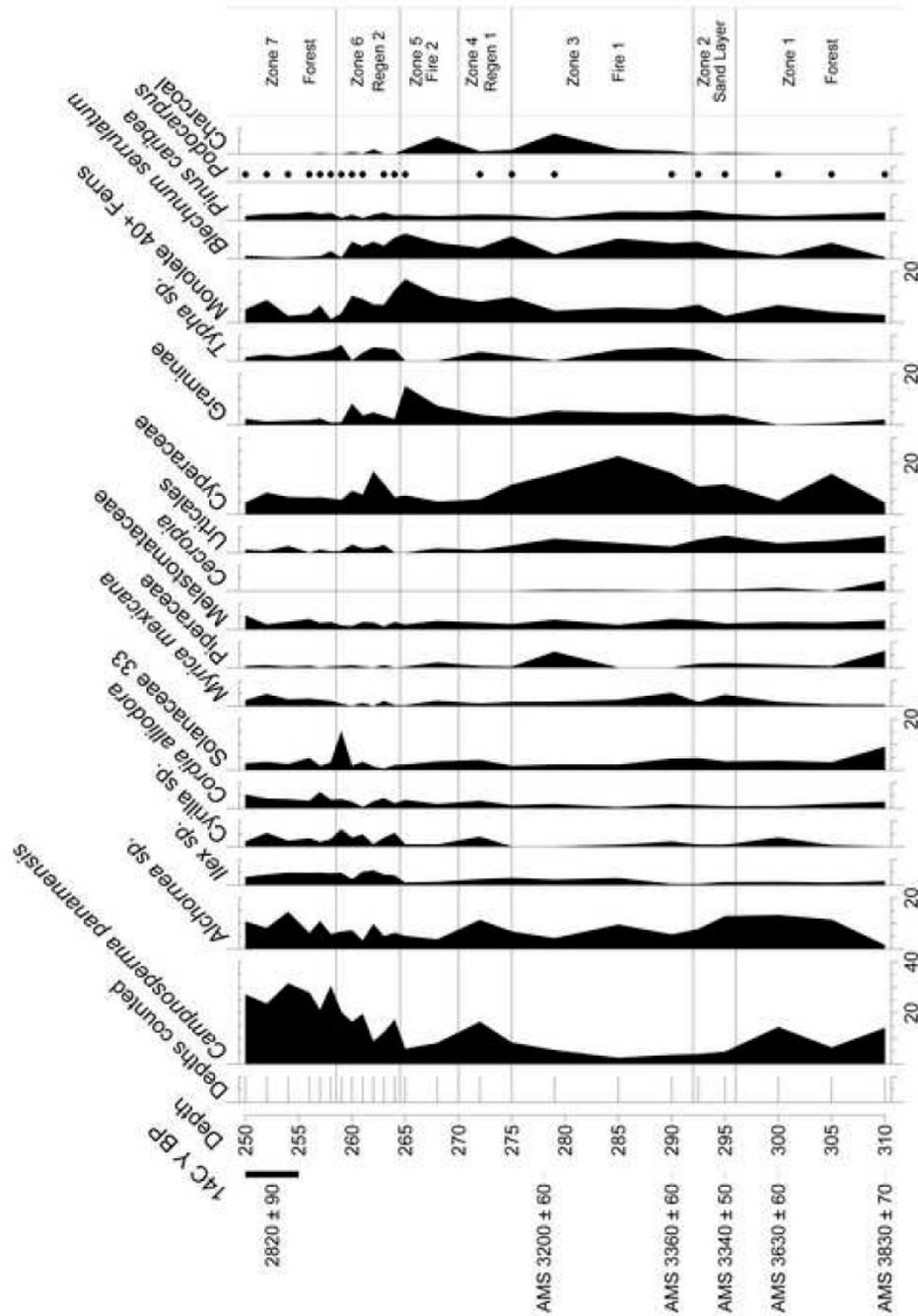


524

525

525 Fig. 4

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528

528 Fig. 5

