

Biplane wing planform and flight performance of the feathered dinosaur *Microraptor gui*

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Microraptor gui, a four-winged dromaeosaur from the Early Cretaceous of China, provides strong evidence for an arboreal-gliding origin of avian flight. It possessed asymmetric flight feathers not only on the manus but also on the pes. A previously published reconstruction shows that the hindwing of *Microraptor* supported by a laterally extended leg would have formed a second pair of wings in tetrapteryx fashion. However, this wing design conflicts with known theropod limb joints that entail a parasagittal posture of the hindlimb. Here, we offer an alternative planform of the hindwing of *Microraptor* that is concordant with its feather orientation for producing lift and normal theropod hindlimb posture. In this reconstruction, the wings of *Microraptor* could have resembled a staggered biplane configuration during flight, where the forewing formed the dorsal wing and the metatarsal wing formed the ventral one. The contour feathers on the tibia were positioned posteriorly, oriented in a vertical plane for streamlining that would reduce the drag considerably. Leg feathers are present in many fossil dromaeosaurs, early birds, and living raptors, and they play an important role in flight during catching and carrying prey. A computer simulation of the flight performance of *Microraptor* suggests that its biplane wings were adapted for undulatory “phugoid” gliding between trees, where the horizontal feathered tail offered additional lift and stability and controlled pitch. Like the Wright 1903 Flyer, *Microraptor*, a gliding relative of early birds, took to the air with two sets of wings.

arboreal origin of flight | Chinese feathered dinosaurs | phugoid gliding | Wright 1903 Flyer

The evolution of powered flight in birds from theropod dinosaurs is recognized as the key adaptive breakthrough that contributed to the biological success of this group. The transformation of wing design from nonavian dinosaurs to early birds is beginning to unravel in recent times from a wealth of fossil record from China. Hundreds of small, exquisitely preserved, feathered theropods were discovered in the Early Cretaceous Jehol Group of northeastern China as they died some 125 million years ago, smothered in the “Cretaceous Pompeii.” Both anatomy and phylogeny strongly suggest that these theropods, including *Sinosauropteryx* (1), *Caudipteryx* and *Protarchaeopteryx* (2), *Microraptor* (3), *Sinornithosaurus* (4), *Cryptovolans* (5), and the early bird *Confuciusornis* (6), show constructions ranging from small winged, arboreal theropods to fully winged, active flying birds. They offer new insights into the origins of feathers and flight, favoring the arboreal (“trees-down”) over the cursorial (“ground-up”) hypothesis (3–5, 7–11).

Among these recent finds, *Microraptor gui* offers the best evidence that arboreal dromaeosaurs might have acquired powered flight through a gliding stage where both forelimbs and hindlimbs were involved (12). With two sets of winged limbs, each having long, asymmetric flight feathers at the distal segments of both forelimb and hindlimb, *Microraptor* developed broad airfoil surfaces and was probably an efficient glider. There are ≈ 12 manual and ≈ 14 pedal primary feathers, which are long and asymmetric to create aerodynamic forces for lift. The longest primary on the metatarsus would be 19 cm (Fig. 1A). The

hooked, interlocking barbs gave strength and flexibility to the asymmetric feathers and prevented air from passing through it in flight (Fig. 1B). In the proximal part of the wing and hindleg, the contour feathers have symmetric vanes (Fig. 1C).

A typical contour feather is composed of a long, tapering central rachis with a broad, flexible vane on either side. Vanes are asymmetrical in flight feathers in relation to the central rachis, where the leading edge is narrower and stronger than the trailing edge. This asymmetry provides an airfoil-shaped cross-section of the feather because air pressure is greater along the leading edge. The aerodynamic function of the asymmetric feather is attributable to aeroelastic stability in the lifting feather. The forward location of the rachis suppresses a tendency for the rachis to twist elastically in response to the aerodynamic force on the lifting feather because lift is concentrated in the forward quarter of the feather’s area. The asymmetry is a sure sign that the feather has been adapted for lifting.

Xu *et al.* (12) (Fig. 1C) reconstructed limbs of four-winged *Microraptor* as tandem wings similar to those of insects and gliding fish, where all wings are spread horizontally in tetrapteryx fashion. They argued that *Microraptor* was clumsy on the ground; because it had these long feathers on its feet, it would have had trouble walking or running and would have been vulnerable on the ground. It was probably a tree-dweller, thus supporting the arboreal theory of flight, where gravity was the main source of flying energy.

The holotype specimen of *Microraptor*, with an estimated live weight of ≈ 1 kg and measuring ≈ 77 cm in length, has a long bony tail that bears asymmetric retrices on two sides that could provide additional lift and control pitch (Table 1). However, Xu *et al.* (12) did not discuss why *Microraptor* was a glider and how it used its wings during flight. The life restoration of the hindlimb of *Microraptor* in a laterally extended position by Xu *et al.* (12) (Fig. 1C) appears to be aerodynamically inefficient and so anatomically anomalous that it generated lively debate and speculation (13). In our view, the leading edge of the asymmetric flight feathers on the metatarsus should face forward against the direction of the airflow like those of the hand section, not sidewise, as they reconstructed. In all theropods (including birds), the hindlimb is held in an erect and parasagittal gait, unlike the reconstruction of *Microraptor* in a laterally extended position. Because of the critical importance of this fossil in the early evolutionary history of avian flight, we propose here a second restoration of the wing planform and estimate the flight

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Abbreviation: M, mass.

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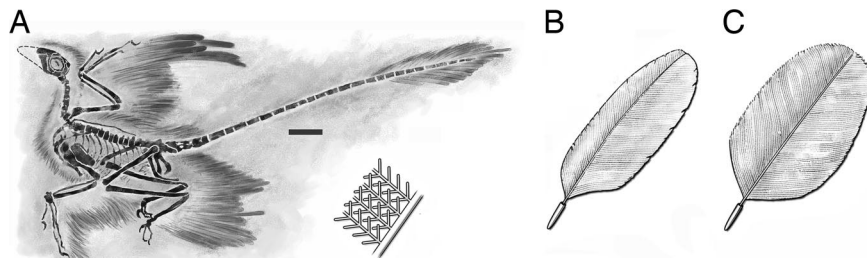


Fig. 1. Feathers of *M. gui*. (A) Holotype of *M. gui* [Institute of Vertebrate Paleontology and Paleoanthropology (IVPP) V13352] as preserved [modified from Xu *et al.* (12)]. (Scale bar, 5 cm.) (B) The long feathers on the hand and metatarsal sections had evolved for flight; they were asymmetric with interlocking barbules. (C) In the rest of the wing and hindleg, the feathers are symmetric (10).

performance of *Microraptor*⁸ in a manner fundamentally different from the conclusions reached by Xu *et al.* (12).

Hindlimb Posture and Orientation of Metatarsal Feathers

In all theropods (including birds), the hip, knee, and ankle joints are stable and fully congruent during parasagittal motion of the hindlimbs, permitting a wide range of flexion and extension but little abduction and adduction. The femur head is cylindrical, fitting into a perforated acetabulum, which allows little transverse deviation from the parasagittal plane (14). The hip joint becomes quickly incongruent as the femur is abducted horizontally from the parasagittal plane. The parasagittal hindlimb posture of *Microraptor* is beautifully preserved in the holotype specimen (Fig. 1A), which differs strikingly from the horizontal restoration (12) but closely resembles that of the Berlin *Archaeopteryx* specimen, which also displays tibial feathers (15) (see Fig. 4).

The most unusual feature in *Microraptor* is the presence of long, asymmetric flight feathers on the entire length of the metatarsus, which are unknown in *Archaeopteryx*, feathered dromaeosaurs, and modern birds. The orientation of the metatarsal feathers as reconstructed by Xu *et al.* (12) is problematic because these feathers extend below the level of the feet, thus hindering terrestrial locomotion. In this reconstruction, the leading edge of these primary feathers on the metatarsus would face sidewise during gliding without producing any lift. Because they are asymmetric flight feathers like those of the forewings, the narrow, leading edge should face forward against the direction of airflow to gain lift. We suggest that these feathers were oriented in life in a transverse horizontal plane like those of the hand section, to be an effective airfoil during flight, but that they collapsed backward during fossilization. The symmetrical tibial feathers also are preserved in a backward fashion. In life, these contour feathers should be projected posteriorly for streamlining.

Three biological and aerodynamic constraints provide important clues to the hindwing design of *Microraptor*: (i) The hindlimb should be oriented in a parasagittal plane as in all theropods

(including birds) and could not be splayed sidewise. (ii) The hindlimb wings should be folded neatly into a compact package during walking in such a fashion that metatarsal feathers would not project ventrally beyond the foot to prevent damage to the feathers. (iii) The leading edge of the primary feathers on the metatarsus should face forward as in the manus; this arrangement makes each feather capable of acting as an individual airfoil and also is needed to maintain the entire wing's camber and to carry the aerodynamic load. The first two constraints contradict the original hindlimb reconstruction of Xu *et al.* (12) (Fig. 1C).

We present several possible hindlimb orientations (Fig. 2 A–D) in *Microraptor* during flight. We have discarded the bird-like pedal configuration in flight (Fig. 2A) because in that pose, the primaries would face backward to produce lift in an unproductive direction (ventrally) that would interfere with gliding. Among other alternatives, we prefer Fig. 2D, a reconstruction in which the hindlimb is held in a z-fashion resembling the pouncing posture of modern raptors when catching prey from the air and carrying it (Fig. 2H). In this pose, the femur would be kept in a subhorizontal position close to the body, directed forward, with its feathers oriented backward and merging with the body contour to form a continuous surface, allowing this airfoil to move smoothly through air with the least drag. We propose that the symmetric feathers on the tibia were arranged like those in modern raptors, streamlining the circular shaft of the tibia by stretching it backward to maintain a smooth flow of air (Fig. 2 E–G). Without tibial streamlining, the cylindrical leading edge of the vertical tibia could increase the total drag by nearly 40%.

Biplane Wing Configuration

Because *Microraptor* could not extend its hindwings directly behind the forewings in the same plane, it probably held its feet lower than its arms, a more anatomically and aerodynamically stable configuration. Once the parasagittal posture and feather orientation of the hindlimb are corrected based on both anatomical and aerodynamic modeling, the wings of *Microraptor* resemble those of a staggered biplane from the side, where the forewing forms the dorsal wing and the metatarsal wing forms

⁸Chatterjee, S., Templin, R. J. (2005) *Geol Soc Am Abstr Prog* 37:88 (abstr.).

Table 1. Aerodynamic data of *M. gui*, *Nyctosaurus gracilis*, and *F. magnificens*

Specimen (holotype)	M, kg	Wing area (forewing plus hindwing), S (m ²)	Tailwing area, S (m ²)	Wingspan, b (m)	Aspect ratio, A = b ² /S	WL, mg/S (N/m ²)	Gliding speed (m/s)
<i>Microraptor</i> IVPP V13352	0.95	0.132	0.0136	0.94	6.69	70.6	12–15
<i>Nyctosaurus</i> YPM 1178	1.86	0.409		2.72	18.08	44.6	9.6
<i>Fregata</i>	1.5	0.324		2.86	25.24	45.42	10

M, mass; N, Newton; S, wing area; WL, wing loading; IVPP, Institute of Vertebrate Paleontology and Paleoanthropology; YPM, Yale Peabody Museum.

Discussion and Conclusion

Phylogenetically, *Microraptor* belongs to eumaniraptorans, which also include a series of feathered theropods and *Archaeopteryx* (23), possessing long arms and hands for the attachment of vaned, barbed feathers (Fig. 4A). Although *Microraptor* appears to have been a glider, there are two phylogenetic and biomechanical interpretations for this unusual biplane wing configuration of *Microraptor*: either (i) avian flight went through a *Microraptor*-like biplane stage to become a monoplane configuration when the hindlimb became decoupled from its gliding function (with the loss of ventral wing); or (ii) the biplane wing configuration may represent a failed or temporary experiment in the deployment of aerodynamic feathers among one branch of deinonychosaurs that dallied with gliding.

Both scenarios are equally possible. However, the former view finds support from current fossil and recent evidence in a broad phylogenetic context indicating a gradual shift in locomotory dominance from the hindlimb to forelimb during the evolution of avian flight (Fig. 4). Several Chinese maniraptorans including *Caudipteryx* (24), *Sinornithosaurus* (4), and *Cryptovolans* (5), as well as modern raptors, show contour feathers on the hindlimbs, where tibial feathers were probably used for streamlining. The recent discovery of *Pedopenna* (25) from the Middle or Late Jurassic of China, another feathered maniraptoran with long metatarsal feathers, may support the biplane wing configuration of gliding dromaeosaurs before the *Archaeopteryx* stage (Fig. 4). *Archaeopteryx* shows long contour feathers on the hindlimb, especially in the tibial region in the Berlin specimen (15); it apparently lacked the metatarsal feathers, which were compensated with larger forewing and long asymmetric retrices on the tail for additional lift. Long contour tibial feathers also are known in an unnamed Early Cretaceous enantiornithine bird from China, but metatarsal feathers appear to be absent (26). Symmetric contour feathers occur on the femur and tibia in living raptors, even on the proximal part of the tarsometatarsus (Fig. 4). Unlike other birds, raptors keep their hindlegs in a z-configuration during preparation for aerial attack and carrying prey, dangling their tibiae in a vertical plane (Fig. 2H). The feathered “trousers” are a conspicuous costume of predatory birds, keeping their prey-catching legs streamlined during aerial attack. *Microraptor* provided the crucial clue about the role of leg feathers in the flight of living raptors.

Aircraft designers have mimicked many of nature’s flight “inventions,” usually inadvertently. Leading edge slats delay stalling, as does the alula of birds; birds’ feet act as airbrakes, and streamlining reduces drag. Now, it seems likely that *Microraptor* invented the biplane 125 million years before the Wright 1903 Flyer.

Methodology

Various flight parameters such as wingspan (b), body length, and forewing and tailwing areas (S) were calculated from a high-fidelity cast of the holotype specimen at the National Museum of Rio de Janeiro in Brazil. The hindwing area was estimated from a modified dorsal reconstruction of *Microraptor* (Fig. 2J). We have digitized the body outline in dorsal aspect with a computer program by Rohlf (<http://life.bio.sunysb.edu/morph/>) and estimated the combined wing area (forewing plus hindwing) as well as the area from tail feathers (Table 1). Our method of estimating the mass of *Microraptor* used a multivariate analysis proposed by Atanassov and Strauss.[†] The predicted mass of *Microraptor* was calculated to be 0.95 kg, which is approximately the mass of medium-sized extant predatory birds [i.e., the common black hawk (*Buteogallus anthracinus*) or the northern goshawk (*Accipiter gentilis*)] (27). To analyze the flight performance of *Microraptor*, we used two computer algorithms, ANFLTPWR and ANFLTSIM, which are based on the streamtube model (20) and also described above. In all cases, the body and wing drag coefficients are computed as functions of the Reynolds number (see §, ref. 20, and SI for detailed methodology).

[†]Atanassov, M. N., Strauss, R. (2002) *J Vertebr Paleontol* 22:33A (abstr.).

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